



Internal Audit Department

O R A N G E C O U N T Y

AUDIT HIGHLIGHT

OCTOBER 18, 2011

**OC FRAUD HOTLINE ACTIVITY
For The Period
January 1, 2011 thru June 30, 2011**

Audit No. 1103-A

WHY IS THIS AUDIT IMPORTANT?

Because preventing and detecting waste, fraud and abuse of County resources are a top priority to the Board of Supervisors, the tax-payers and Internal Audit, the establishment of a Hotline is a best business practice for both private and governmental entities. The County encourages employees to resolve concerns through their normal administrative channel whenever possible. However, the Orange County Internal Audit Department (OCIAD) Fraud Hotline provides an alternative reporting and investigating avenue to ensure that concerns about possible wrong doings in our County government are properly addressed.

WHAT THE AUDITORS FOUND?

We received 58 new complaints of alleged improper activities, of which 21 were actionable calls; 36 were referred calls; and 1 call did not contain sufficient information for an investigation. Of the 21 actionable calls, 18 dealt with employee misconduct and 3 dealt with contractor misconduct. The allegations in 3 of the 18 cases closed were substantiated, the individual cases dealt with: driving County vehicles in an unsafe manner; unprofessional behavior by a manager, and quality issues with a contractor. In all cases, appropriate corrective action was taken.