

## Workforce Investment Act Local Plan Modification Program Year 2009-10 (Narrative Forms)

#### Local Workforce Investment Area (LWIA):

Name of LWIA Orange County Workforce Investment Area

Submitted on March 26, 2010

Contact Person Andrew Munoz

Contact Person's Phone Number 714 567-7371

AREA CODE PHONE NUMBER

EDD is an equal opportunity employer/program. Auxiliary aids and services are available upon request to individuals with disabilities. Special requests for services, aids, and/or special formats need to be made by calling (916) 654-8055 (Voice). TTY users, please call the California Relay Service at 711.

<b>&gt;</b>	WIA Local Plan Modification PY 2009–10	LWIA:	Orange County Workforce Invesment Area
	Modification #	Date:	03/26/2010

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#### ORANGE COUNTY WORKFORCE INVESTMENT BOARD

## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

The following are areas of supplemental information to augment or update the original narrative in the Local Plan and are not designed to replace existing information submitted in the original Plan or any modification thereto, unless otherwise stipulated.

#### **EXECUTIVE SUMMARY**

No modification is necessary to information already submitted.

#### I. PLAN DEVELOPMENT PROCESS

Describe the method used to make copies of the local plan available through public hearings and through other means e.g., local news media and the Internet. [WIA Section 118(c)(2)]

A public notice was published in the Orange County Register on January 25, 2010. The Plan Modification was accessible on the OCWIB internet site at <a href="https://www.ocwib.org">www.ocwib.org</a> for a 30 day period. No comments were received.

#### II. LOCAL VISION AND GOALS

What are the local/regional efforts used to plan/implement sector strategies or target industries important to both the local and regional economy's vitality and competitiveness?

Approximately three fourths (3/4) of all Orange County jobs are in ten (10) Industry Clusters. Identification of Industry Clusters reflects both key economic drivers for the Orange County economy and industries that are central to workforce development. In 2009-2010, the WIB will bolster current Business Services with additional Special Projects that provide businesses in specific cluster customized assistance, specially developed for the following clusters: Energy, Environment, and Green Technologies; Healthcare; Information Technology; Manufacturing and Biotechnology/Nanotechnology.

What efforts are being made toward revising local strategies that address issues related to green collar jobs, including any involvement in green jobs initiatives.

The OCWIB approved an Orange County Green Initiative Strategic Tactics plan (OC-GIST) in 2009, and will be implementing the plan in conjunction with the Orange County Comprehensive Economic Development Strategy (CEDS) through 2013. Projects implemented under this plan will include the Green Job Corps and other training programs, as well as green business assistance programs such as LEED training for business owners and builders/operators.

## How is your local area integrating the services of New Start Prison to Employment Program within your One-Stop Centers?

The Orange County Workforce Investment Board (OCWIB) received a total of \$214,275 to partner with the California Department of Corrections and Rehabilitation (CDCR) to implement the New Start (Prison to Employment Program) within Orange County. The OCWIB submitted a New Start Service Plan to CDCR on November 1, 2009. The plan diagramed strategies to serve the targeted population and to collaborate with regional CDCR offices. The One-Stop Centers and satellite will be funded to staff and implement the program.

Under the New Start Plan the OCWIB and One-Stop Center Staff is expected to

- Offer WIA services including the three-tier Core, Intensive and Training.
- Participate in Parole and Community Team (PACT) local meetings coordinated by the CDCR Division of Adult Parole Operations (DAPO).
- Market the employability of parolees to prospective employers. .
- Participate in employer stakeholder forums with CDCR.
- Develop a list of employers that are ready to hire parolees and maintain the list as additional employers are identified.

#### III. LABOR MARKET ANALYSIS

#### What are the significant changes in your local economic conditions?

The most significant changes in the local economic conditions in the past 12 months include the increase in the number of individuals unemployed and wage stagnation. LMID reports that the unemployment rate in the Orange County was 9.4 percent in November 2009, up from a reported 6.1 percent for the previous year. Between November 2008 and November 2009, non-farm wage and salary employment declined by 53,000, or 3.6 percent. The biggest loss over the year occurred in trade, transportation, and utilities (12,600 jobs) with retail trade accounting for nearly 63 percent of the loss, with losses within all its sectors. Construction in Orange County recorded the second largest decline over the year, as it dropped 11,700 jobs, with specialty trade contractors responsible for 75 percent of the decline. As the economy declined across the nation, wages in Orange County have stagnated and in some case contracted, specifically in the service sectors (2009-10 Orange County Workforce Indicators Report).

#### IV. LEADERSHIP

No modification is necessary to information already submitted.

#### V. LOCAL ONE-STOP SERVICE DELIVERY SYSTEM

We have recently upgraded our registration system in our One-Stop Centers. Clients may now complete the process in a matter of minutes, including on-line registration. Not only is this system more user-friendly, it will enable the OCWIB to collect reliable data for clients accessing universal services. Upon verification of right-to-work documents, individual photo ID

cards are produced on-the-spot. Initial feedback form the One-Stop customers has been very positive.

How did the incumbent worker training waiver increase the Local Workforce Investment Area's ability to respond to the business community, to assist in retaining good jobs in California's target sectors, and enable employers to maintain operations and avert layoffs?

The OCWIB is currently reviewing and considering a Customized Training policy for employers in Orange County, outlining cost-sharing mechanisms and training eligibility determination methodology. Funding will serve low-income adults and all training will be restricted to skill attainment activities. This waiver will allow the OCWIB to provide alternatives to layoffs and enable local employers to maintain their workforce. This, in turn, will lead to increased employment rates for skilled job seekers. Upon finalization of our internal policy, the OCWIB will submit a request to implement these services.

How is the customized training waiver anticipated to impact the marketing of WIA-funded customized training to the private sector and the building of relations with employers in demand/growth industries?

This waiver will provide a valuable tool for the OCWIB in our support of our local businesses and their employees. This will encourage greater employer participation, enhance innovative partnerships and pave the way for future project development and implementation. The Customized training will relate to the introduction of new technologies, introduction to new production or services procedures, upgrading to new jobs that require additional skills, workplace literacy, or other appropriate purposes identified by the OCWIB.

#### VI. YOUTH ACTIVITIES

No modification is necessary to information already submitted.

#### VII. ADMINISTRATIVE REQUIREMENTS

No modification is necessary to information already submitted.

#### VIII. ASSURANCES

No modification is necessary to information already submitted.

#### IX. PROGRAM ADMINISTRATION DESIGNEE AND PLAN SIGNATURES

This Local Plan represents the Orange County Workforce Investment Board's efforts to maximize and coordinate resources available under Title I of the Workforce Investment Act (WIA) of 1998.

This Local Plan is submitted for the period of April 1, 2009 through June 30, 2010 in accordance with the provisions of the WIA.

Local Workforce Investment Board Chair	Chief Elected Official
Signature	Signature
Bob Bunyan	Janet Nguyen
Name	Name
Chair, Orange County Workforce Investment Board	Chair, Orange County Board of Supervisors
Title	Title
Date	Date





## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

## **Attachment 1:**

## **Budget Plan Summaries**

✓ WIA Local Plan Modification PY 2009–10	LWIA:	Orange	County
Modification #	Date:	07/0	
FITLE IB BUDGET PLAN SUMMARY¹ (Ad VIA 118; 20 CFR 661.350(a)(13) PROGRAM TYPE for PY 2009, beginning 07/01/09 Grant Code 201/202/203/204 WIA IB-Adult		orker)	
Grant Code 501/502/503/504 WIA IB-Dislocate	ed Worker		
UNDING IDENTIFICATION	R9xxxxx Sub	ogrant	K0xxxxx Subgrant
Year of Appropriation	2008		2009
Formula Allocation	1,769,18	1	2,788,437
Allocation Adjustment - Plus or Minus			
4. Transfers - Plus or Minus			
5. TOTAL FUNDS AVAILABLE (Lines 2 thru 4)	1,769,18	1	2,788,437
OTAL ALLOCATION COST CATEGORY PLAN			
6. Program Services (sum of Lines 6.A thru 6.E)	1,592,26	3	2,509,593
A. Core Self Services			
B. Core Registered Services	445,277	·	491,883
C. Intensive Services	453,863	3	855,232
D. Training Services	491,526	6	725,544
E. Other	201,597		436,934
7. Administration (Line 5 minus 6)	176,918		278,844
8. TOTAL (Line 6 plus 7)	1,769,18	1	2,788,437
QUARTERLY TOTAL EXPENDITURE PLAN (cumu	ulative from July 1, 2008 at	nd July 1 2000 re	snectively)
9. September 2008		110 July 1, 2009 16	spectively)
10. December 2008	100,580	)	
11. March 2009	347,506		
12. June 2009	1,007,67		
13. September 2009	1,394,49		
14. December 2009	1,769,18		200,660
15. March 2010	1,769,18		557,687
16. June 2010	1,769,18		1,199,028
17. September 2010	, , , , ,		1,700,947
18. December 2010			2,230,750
19. March 2011			2,509,593
20. June 2011			2,788,437
COST COMPLIANCE DI ANI (			
COST COMPLIANCE PLAN (maximum 10%) 21. % for Administration Expenditures (Line 7/Line	5) 10%	Т	109
11. 70 for Administration Experiationes (Line Mellie	0) 10%	L	107
Vin Swe 714-567-7	404	04/0	0/10

<sup>1</sup> Refer to 20 CFR Part 667.160 and WIA Directive WIAD01-10 for guidance and information regarding local area obligation rates, and recapture and reallocation policies and procedures.

☑ WIA Local Plan Modification PY 2009–10	LWIA:	Orange County	
☐ Modification #	Date:	07/01/09	

#### TITLE IB BUDGET PLAN SUMMARY¹ (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2009, beginning 07/01/09 through 06/30/1	0
Grant Code 201/202/203/204 WIA IB-Adult	

✓ Grant Code 501/502/503/504	WIA IB-Dislocated Worker
------------------------------	--------------------------

FUNDING IDENTIFICATION	R9xxxxx Subgrant	K0xxxxx Subgrant
Year of Appropriation	2008	2009
2. Formula Allocation	4,012,121	5,374,457
3. Allocation Adjustment - Plus or Minus		
4. Transfers - Plus or Minus		
5. TOTAL FUNDS AVAILABLE (Lines 2 thru 4)	4,012,121	5,374,457
TOTAL ALLOCATION COST CATEGORY PLAN		
6. Program Services (sum of Lines 6.A thru 6.E)	3,610,909	4,837,011
A. Core Self Services		
B. Core Registered Services	569,495	941,314
C. Intensive Services	1,044,260	2,221,736
D. Training Services	936,676	1,631,388
E. Other	1,060,478	42,573
7. Administration (Line 5 minus 6)	401,212	537,446
8. TOTAL (Line 6 plus 7)	4,012,121	5,374,457
QUARTERLY TOTAL EXPENDITURE PLAN (cumulative	re from July 1, 2008 and July 1, 20	009 respectively)
9. September 2008		
10. December 2008	22,748	
11. March 2009	422,638	
12. June 2009	1,994,769	
13. September 2009	2,906,138	
14. December 2009	4,012,121	154,581
15. March 2010	4,012,121	1,182,381
16. June 2010	4,012,121	2,633,484
17. September 2010		3,278,419
18. December 2010		4,407,055
101 2000001 2010		4 000 750
19. March 2011		4,890,756
19. March 2011		4,890,756 5,374,457

Win Swe	714-567-7481	01/20/10	
Contact Person, Title	Telephone Number	Date Prepared	
Comments:			
			_

<sup>1</sup> Refer to 20 CFR Part 667.160 and WIA Directive WIAD01-10 for guidance and information regarding local area obligation rates, and recapture and reallocation policies and procedures.

☑ WIA Local Plan Modification PY 2009–10	LWIA:	Orange County	
☐ Modification #	Date:	04/01/09	
	\ <u>-</u>		

#### TITLE IB BUDGET PLAN SUMMARY¹ (Youth)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2009, beginning 04/01/09 through 06/30/10

☑ Grant Code 301/302/303/304 WIA IB-Youth

FUNDING IDENTIFICATION		R9xxxxx Subgrant	K0xxxxx Subgrant
Year of Appropriation		2008	2009
2. Formula Allocation		1,820,762	2,894,91
3. Allocation Adjustment - Plus	or Minus		
4. TOTAL FUNDS AVAILABLE	(Line 2 plus 3)	1,820,762	2,894,91
TOTAL ALLOCATION COST CA	TEGORY PLAN		
5. Program Services (sum of Lin	es 5A and 5B)	1,638,686	2,605,42
A. In School		645,983	1,068,63
B. Out-of-School (30%)		992,703	1,536,79
6. Administration (Line 4 minus 5	)	182,076	289,49
7. TOTAL (Line 5 plus 6)		1,820,762	2,894,91
QUARTERLY TOTAL EXPENDIT	URE PLAN (cumulative	e from April 1, 2008 and April 1, 200	09 respectively)
8. June 2008	·		
9. September 2008		1,913	
10. December 2008		347,213	
11. March 2009		888,763	
12. June 2009		1,366,974	
13. September 2009		1,746,360	
14. December 2009		1,820,762	554,702
15. March 2010		1,820,762	1,013,22
16. June 2010		1,820,762	1,447,459
17. September 2010			1,881,69
18. December 2010			2,315,934
19. March 2011			2,605,420
20. June 2011			2,894,91
COST COMPLIANCE PLAN			
<ol><li>% for Administration Expendi</li></ol>	tures (Line 6/Line 4)		109
Win Swe	714-567-7481		01/20/10
Contact Person, Title	Telephone Nu	ımber	Date Prepared
Comments:			

<sup>1</sup> Refer to 20 CFR Part 667.160 and WIA Directive WIAD01-10 for guidance and information regarding local area obligation rates, and recapture and reallocation policies and procedures.





## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

## **Attachment 2:**

## **Participant Plan Summaries**

✓ WIA Local Plan Modification PY 2009–10	LWIA:	Or	ange County	/
Modification #	Date:		07/01/09	
TITLE IB PARTICIPANT PLAN SUMMARY				
WIA 118; 20 CFR 661.350(a)(13); TEGL 17-05				
Plan the number of individuals that are in each category.				
Totals for PY 2009 (07/01/09 through 06/30/10)		ADULT	DW	YOUTH
<ol> <li>Registered Participants Carried in from PY 2008</li> </ol>		256	588	286
<ol><li>New Registered Participants for PY 2009</li></ol>		505	1,320	169
<ol><li>Total Registered Participants for PY 2009 (Line 1 plus 2</li></ol>	2)	761	1,908	455
4. Exiters for PY 2009		228	572	137
<ol><li>Registered Participants Carried Out to PY 2010 (Line 3</li></ol>	minus 4)	533	1,336	318
PROGRAM SERVICES				
6. Core Self Services	T	6,130	7,493	
7. Core Registered Services		761	1,908	
8. Intensive Services		381	954	
Training Services		59	142	
	Į.	•		
YOUTH MEASURES				
10. Attainment of a Literacy and/or Numeracy Gain				68
11. Attainment of a High School Diploma, GED, or Certific	ate			62
EXIT STATUS				
12. Entered Employment		177	455	63
12A. Training-related		47	114	3
13. Remained with Layoff Employer			2	
14. Entered Military Service				1
15. Entered Advanced Training				1
16. Entered Postsecondary Education				26
17. Entered Apprenticeship Program				0
18. Returned to Secondary School				7
19. Exited for Other Reasons		51	115	40
	•	•		
Andrew Munoz, Executive Director (714) 567-7	371			2/26/2009
	ne Number		Da	te Prepared
Comments:				





## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

## **Attachment 3:**

## Negotiated Levels of Performance Chart

	WIA Local Plan	Modification PY 2009–			
~	10		LWIA:	Orange County	
	Modification #		Date:		07/01/2009
	•		-		

#### **WORKFORCE INVESTMENT ACT TITLE IB**

#### STATE NEGOTIATED LEVELS OF PERFORMANCE<sup>1</sup>

WIA Requirement at Section 136(b) <sup>2</sup>	PY 2007-08	PY 2008-09	PY 2009–10
Adults			
Entered Employment Rate	77%	78%	65%
Employment Retention Rate	82%	83%	81%
Average Earnings	\$12,400	\$12,500	\$12,500
Dislocated Workers			
Entered Employment Rate	85%	86%	81%
Employment Retention Rate	87%	88%	83%
Average Earnings	\$15,800	\$15,900	\$14,900
Youth (ages 14-21)			
Placement in Employment or Education	65%	67%	63%
Attainment of a Degree or Certificate	45%	47%	47%
Literacy and Numeracy Gains	15%	30%	30%

#### LOCAL NEGOTIATED LEVELS OF PERFORMANCE<sup>1</sup>

WIA Requirement at Section 136(c) <sup>2</sup>	PY 2007-08	PY 2008-09	PY 2009–10
Adults			
Entered Employment Rate	77.5%	77.5%	TBD
Employment Retention Rate	80.5%	80.5%	TBD
Average Earnings	\$14,400	\$14,400	TBD
Dislocated Workers			
Entered Employment Rate	79.5%	79.5%	TBD
Employment Retention Rate	87%	87%	TBD
Average Earnings	\$16,200	\$16,200	TBD
Youth (ages 14-21)			
Placement in Employment or Education	65%	65%	TBD
Attainment of a Degree or Certificate	45%	45%	TBD
Literacy and Numeracy Gains	15%	15%	TBD

<sup>1</sup> Guidance on state and local performance can be found on the U.S. Department of Labor (DOL) <a href="Employment and Training Administration"><u>Employment and Training Administration</u></a> Web site. Specific Training and Employment Guidance Letters (TEGL) include, but are not limited to 8-99, 11-01, and 17-05. For additional guidance, see Workforce Services Directives WSD08-1 and WSD08-6, and Workforce Services Information Notice WSIN07-33.

<sup>2</sup> Per WSIN07-33, the DOL Employment and Training Administration approved California's waiver request to move from the statutory performance measures specified in WIA Section 136 to the common performance measures defined in TEGL 17-05. This waiver was initially approved for Program Years (PY) 2007-08 and 2008-09. Per TEGL 14-08, this waiver has been approved for PY 2009-10.





## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

## **Attachment 4:**

# Copies of MOUs for Each One-Stop

(See Separate Document)





## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

## **Attachment 5:**

# Public Comments of Disagreement

#### PUBLIC COMMENTS OF DISAGREEMENT

Public Comments Period January 25, 2010 through February 26, 2010

No Public Comments were received.





## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

## **Attachment 6:**

## **Grant Recipient Listing**

#### STATE of CALIFORNIA LOCAL AREA GRANT RECIPIENT LISTING

[WIA Sections 117(d)(3)(B)(i) and 118(b)(8)]

#### ORANGE COUNTY WORKFORCE INVESTMENT AREA

ENTITY	ORGANIZATION	CONTACT (NAME/TITLE)	MAILING ADDRESS (STREET, CITY, ZIP)	TELEPHONE, FAX, E-MAIL
Grant Recipient (or Sub-recipient if applicable)	OC COMMUNITY RESOURCES, OC COMMUNITY SERVICES	Andrew Munoz Community Investment Division Administrator	1300 South Grand Blvd., Building B Santa Ana, CA 92705	P: (714) 567-7371 F: (714) 834-7132 Andrew.Munoz@ occr.ocgov.com
Fiscal Agent	OC COMMUNITY RESOURCES, OC COMMUNITY SERVICES	Win Swe Accounting Manager	1300 South Grand Blvd., Building B Santa Ana, CA 92705	P: (714) 567-7481 F: (714) 834-7132 Win.Swe@occr.o cgov.com
Local Area Administrator	ORANGE COUNTY WORKFORCE INVESTMENT BOARD	Andrew Munoz Executive Director	1300 South Grand Blvd., Building B Santa Ana, CA 92705	P: (714) 567-7371 F: (714) 834-7132 Andrew.Munoz@ occr.ocgov.com
Local Area Administrator Alternate	ORANGE COUNTY WORKFORCE INVESTMENT BOARD	Julie Elizondo Deputy Director	1300 South Grand Blvd., Building B Santa Ana, CA 92705	P: (714) 834-6877 F: (714) 834-7132 Julie.Elizondo@o ccr.ocgov.com

Signature:		<u> </u>
	Chief Flected Official	Date

If a Local Grant Sub-recipient has been designated, please submit a copy of the agreement between the Chief Elected Official and the Sub-recipient. The agreement should delineate roles and responsibilities of each, including signature authority.





## LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

# Attachment 7: ARRA LOCAL PLAN



# Workforce Investment Act/Wagner Peyser Act American Recovery and Reinvestment Act of 2009 Local Plan

#### Local Workforce Investment Area (LWIA):

Name of LWIA	Orange County Workforce Investment Area			
Submitted on	March 26, 2010			
Contact Person	Andrew Munoz			
Contact Person's	Phone Number 714 567-7371  AREA CODE PHONE NUMBER			

EDD is an equal opportunity employer/program. Auxiliary aids and services are available upon request to individuals with disabilities. Special requests for services, aids, and/or special formats need to be made by calling (916) 654-8055 (Voice). TTY users, please call the California Relay Service at 711.

#### **American Recovery and Reinvestment Act Local Plan**

#### **ARRA Plan Questions**

#### 1. Integrated Services

Describe how your LWIA will develop close partnering relationships between Unemployment Insurance (UI) and One-Stop services to ensure UI claimants are quickly linked to a local One-Stop in the area to develop and pursue an employment plan.

The goal of the OCWIB and Orange County Board of Supervisors is to create a workforce system that is seamless to its customers - both job seekers and employers. Memoranda of Understanding are in place with EDD and all of the mandatory WIA partners. Key to the full integration of services is the sharing and exchange of information about clients; sharing of costs; reliance on the expertise partners bring to the One-Stop; cross-training of staff and shared information systems.

All UI claimants are entered into the One-Stop registration system. As EDD is co-located in all of our Centers, UI claimants have immediate access to universal services. "Get to Know Your One-Stop Center" Orientations are provided on site. Both One-Stop and EDD have staff assigned to the Resource Room to insure that cross-referrals occur, as appropriate.

#### 2. Green Jobs

How will your LWIA recognize opportunities to prepare workers for "green jobs" related to other sources of federal funding?

The OCWIB consistently seeks additional funding opportunities, and at the center of all grant applications is job training and skill development for employment in a "green" industry/occupation. OCWIB partners with organizations and agencies that have "green" practices or provide a "green" service in order to build a network of employers that will provide work experience, on-the-job training, and unsubsidized employment opportunities for youth, adults, and dislocated workers.

#### 3. Collaboration and Alignment

Describe how your LWIA will collaborate with local government agencies and employers who are creating jobs in road and bridge projects, local food production and processing, nursing and allied health, and local conservation projects and energy efficiency programs such as the Weatherization Program run by many local Community Action Agencies.

The OCWIB, with the Orange County Business Council, Coastline Community College, and the Irvine Chamber of Commerce are conducting workshops, round-table discussions and expo-style meetings of employers to discuss industry specific needs in business and/or workforce development for the industry clusters of Healthcare, Information Technology, Energy/Environment, and Biotechnology through the second quarter of 2010. The OCWIB, in partnership with the Workforce Investment Boards of Long Beach, Anaheim, and Southeast Los Angeles County, Long Beach Memorial Hospital and other healthcare employers, have formed a Healthcare Workforce Intelligence Network (HealthcareWINs) and are developing a project to address critical skills gaps, worker shortages and educational and developmental needs of an underserved diverse population of this key healthcare industry sector, using a regional consensus approach that engages stakeholders from business, industry, educational institutions, CBOs, public, profit and non-profit agencies. The OCWIB actively works with the Orange County Conservation Corps in multiple projects, including the Green Jobs Corp.

#### 4. Accountability and Transparency

Describe the oversight and monitoring activities to be used to determine whether or not there is compliance with programmatic, accountability, and transparency provisions of the ARRA, as well as the regular provisions of WIA and the Wagner-Peyser Act.

Oversight and monitoring activities include on-site visits to contractors to ensure compliance pursuant to WIA and ARRA regulations. Activities include a review of participant files, EO and grievance procedures, supportive services and a review of service delivery practices and outcomes. Fiscal review checks to insure that all expenditures made against budgeted cost categories are within cost limitations. Cash management and internal control systems are also evaluated for regulatory compliance.

#### 5. Adult Services

The intent of the ARRA is that WIA Adult funds be used to provide necessary services to substantially increased numbers of adults to support their entry or reentry into the job market. Describe the programs and processes your LWIA will use to achieve this goal.

The Orange County One-Stop Centers are designed to meet the needs of the Adult job seeker. Most job seekers can utilize the Center's Universal Access Core Services to assist them in their job search. The job seeker has access to a wide variety of resources including: labor market information, job vacancy listings, and information pertaining to unemployment insurance claims. In the Center's Resource Room, they have access to computers with internet connectivity, telephones, faxes, and Xerox machines.

If a job seeker is still unemployed after core service activities, they may transition into Intensive Services. These services provide comprehensive and specialized assessments of client skill levels in addition to the development of an individual employment plan to identify vocational and educational goals and objectives. If it is determined that training is required for the client to find or retain employment, training services will also be provided. A large portion of the ARRA funding has been designated for a variety of training opportunities.

ARRA adults are dually enrolled with WIA Formula adults. Eligibility and performance measures are identical. ARRA funding supports enhanced services for enrolled adults.

#### 6. Training

Because workers may need to learn new skills to compete for limited career opportunities, training will be a particularly vital service during the economic recovery, and overall training enrollments are expected to increase. Describe the programs and processes your LWIA will use to achieve this goal.

As a result of the ARRA funds, OCWIB released an RFP in May 2009 for cohort-based classroom training and work-based training opportunities specific to industries and occupations in demand in Orange County, including but not limited to healthcare, information technology, and business and professional services. It is anticipated that an additional 375 people will participate in classroom or work-based training as result of the increase in funds. In addition to the classroom and work-based training, OCWIB continues to utilize Individual Training Accounts (ITAs) to provide training in demand occupations in Orange County. The ARRA funds designated for ITAs will allow approximately 135 individuals to enroll in training.

#### 7. Supportive Services and Needs Related Payments

The ARRA specifically emphasizes the authority to use these funds for supportive and needs-related payments to ensure participants have the means to pay living expenses while receiving training. Supportive services may include transportation, child care, dependent care, housing, and other services that are necessary to enable an individual who is unable to obtain the services from other programs to participate in activities authorized under WIA. Describe any new policies, programs and processes your LWIA will use to achieve this goal.

The Orange County Workforce Investment Area's Supportive Services Policy and Procedures (Information Notice No. 09-OCWDA-33 Revised, dated November 18, 2009) provides guidance for the delivery of supportive services to participants in the Adult, Dislocated Worker, Youth and/or Special Programs under the Workforce Investment Act and the American Recovery and Reinvestment Act. A

copy of this policy is included as an attachment. As a result of the current economic downturn, the need for assistance with supportive services has increased five-fold. The OCWIB responded to this by contracting with a separate provider to coordinate and administer supportive services throughout the One-Stop System.

#### 8. Priority of Service

The WIA Adult formula funds are to be targeted on the services that most efficiently and effectively assist workers impacted by the current economy to obtain employment, with priority given to recipients of public assistance and other low-income individuals as described in WIA section 134(d)(4)(E). The LWIAs must also incorporate priority of service for veterans and eligible spouses in accordance with the Jobs for Veterans Act. This requires veterans and eligible spouses to receive service priority over recipients of public assistance and low-income individuals. Describe what programs and processes your LWIA will use to achieve these goals.

The Orange County Workforce Investment Area's Prioritization of Services Policy (*Information Notice No. 09-OCWDA-32 Revised, dated November 12, 2009*) provides guidance regarding the prioritization of adults who receive intensive and training services delivered through the Orange County One-Stop system.

Priority of Services will be given to five groups seeking intensive and training services through the One-Stop Centers in the following order:

- **Group 1:** Veterans and their eligible spouses who are economically disadvantaged adults or recipients of public assistance.
- **Group 2**: Economically disadvantaged adults
- Group 3: Veterans
- **Group 4:** Older workers (55 and older), former foster youth, the disabled, school dropouts and offenders. These individuals do not need to meet any income guidelines.
- **Group 5:** Underemployed workers

A copy of the OCWIB's Prioritization of Services Policy is attached.

#### 9. Apprenticeship Programs

The LWIAs are encouraged to leverage new, and existing national, state and local registered apprenticeship programs and assets as a key resource in their talent development and reemployment strategies. Describe the programs and processes your LWIA will use to achieve this goal.

The OCWIB has collaborated with local unions on grants and other projects in Orange County as a means to leverage resources and provide job training and employment opportunities. The OCWIB One-Stop Center and Youth Employment Program providers present information to participants regarding apprenticeships.

The providers assist participants with applying for the apprenticeships and provide the support necessary to help the participant, including remediation of basic skills and supportive services.

#### 10. Regional Collaboration

The LWIAs are encouraged to partner with each other regionally and across political jurisdictions as necessary. Describe how this will be accomplished.

The OCWIB has worked with numerous LWIAs on projects that have regional implications. LWIAs include the Cities of Santa Ana and Anaheim, Pacific Gateway (Long Beach), South Bay and Verdugo. Projects include labor market information sharing, regional grant collaborations, and industry specific outreach and cluster projects. Our Veterans Employment Assistance Program and the New Start Prison to Employment Program are two current examples of regional collaboration.

#### 11. Dislocated Worker Services

The ARRA makes available additional funding for dislocated workers. It is the intent of the law that substantially increased numbers of dislocated workers will be served with this infusion of formula funds, and that training will be a significant area of focus. Describe how your LWIA will achieve this goal.

The needs of dislocated workers are met through the provision of the full range of core and intensive services described in the WIA, along with the specific core and intensive services of the One-Stop partners. The vast majority of clients enrolled in our Centers are individuals who have lost their job and are seeking new employment opportunities. ARRA dislocated workers are dually enrolled with WIA Formula dislocated workers. Eligibility and performance measures are identical. As previously stated, the OCWIB is using ARRA funding to increase the availability of supportive services and training opportunities for dislocated workers.

#### 12. Wagner-Peyser Act – Coordination of Services

The ARRA makes available additional Wagner-Peyser Act funding. Describe how your LWIA will utilize these funds to provide services such as assessment of skill levels, career guidance, job search workshops and referral to employers, to name a few.

It is the intent of the Orange County workforce investment policy makers to rely on the California Employment Development Department (EDD) for basic labor exchange and unemployment insurance services in the Orange County OneStop Centers. EDD has representation in each One-Stop. Job listings through CalJOBS are available to One-Stop clients. All UI claimants are also entered into the One-Stop's registration system. WIA funds are used to supplement Wagner-Peyser services for core services that are not available through Wagner-Peyser. Local EDD, One-Stop and OCWIB management meet on a monthly basis to discuss operational and administrative issues and to insure that high quality, non-duplicative services are provided to our customers.

#### 13. Summer Youth

The LWIAs are encouraged to use ARRA funds to operate an expanded summer youth employment opportunities program in 2009, and provide as many youth as possible with summer employment opportunities and work experiences throughout the year. Also, the ARRA specifies that 30 percent of Youth funds are to be spent on out-of-school youth. Finally, two waivers have been approved - one for procurement of youth employment providers and the other permits using the work readiness indicator only for youth employment outside the summer months. Describe how your LWIA will achieve the goal of providing summer employment opportunities. Further, describe how your LWIA will ensure 30 percent of Youth funds are spend on out-of-school youth. Finally, describe how your LWIA will use the waivers and publish the list of youth employment service providers.

The OCWIB will deliver Work Readiness Skills as defined in TEGL 17-05. Work Readiness service delivery includes a measureable increase in work readiness skills, labor market knowledge, occupational information, values clarification, career planning, decision making and job search techniques. It also encompasses survival/daily living skills, positive work habits, attitudes and behaviors, showing initiative and reliability, and assuming the responsibilities involved in maintaining a job. When setting work readiness goals, the OCWIB follows the definition for a work readiness skill goal as specified above. Youth's skill level is assessed with a pre and post test to measure the increase in work readiness skills. To ensure 30% of funds are spent on out of school youth, there is a focus on disconnected, out of school youth in recruitment and outreach. A second Summer Youth Program may be implemented, depending upon funding availability.

#### 14. Economic Analysis

Provide a detailed analysis of the LWIA's economy, the labor pool, and the labor market context in relation to the economic downturn.

Orange County's economy has suffered as a result of the economic downturn, beginning in 2008 as Orange County served as ground zero of the mortgage meltdown. The unemployment rate jumped 3.3 percent in a 12-month period from 6.1 percent to 9.4 percent (LMID: November 2008 / 2009 Comparison). Between November 2008 and November 2009, non-farm wage and salary

employment declined by 53,000. The biggest loss over the year occurred in trade, transportation, and utilities (12,600 jobs) with retail trade accounting for nearly 63 percent of the loss, with losses within all its sectors. As the economy declined across the nation, wages in Orange County have stagnated and in some case contracted, specifically in the service sectors.

As a result of the increase in unemployment, individuals from all employment levels – from entry-level worker to CEO – have joined the open labor pool and are competing for jobs that are few and far between in many industries and occupations. While Orange County's employment is expected to increase 13.4% based on the 2006-2016 projections (LMID); this projection is much lower than the 18.0% expected increase in the previous 2004-2014 projection, showing the impact of the recession in 2008-2009.

# 15. The Governor has identified key priorities for the workforce system in California's Strategic Vision for Implementation of Employment and Training Provisions of the American Recovery and Reinvestment Act (ARRA). How will your local plan modification implement the Governor's priorities?

## 1. Understanding and Meeting the Workforce Needs of Business and Industry in order to Prepare Workers for 21st Century Jobs

The OCWIB sought and obtained funding for the Workforce 2025 Report which provided valuable labor market information for long range planning into the year 2025. The OCWIB conducts other research works in partnership with the Orange County Business Council to identify workforce and economic development trends and to design strategies to meet the challenges of the 21st Century. The Orange County State of the County report provides information on how Orange County can best address our many workforce challenges. The report provides current and projected workforce data that can facilitate strategic planning on how to improve business growth and training opportunities in the Orange County region.

## 2. Targeting Limited Resources To Areas Where They Can Have the Greatest Economic Impact

Maximizing resources continues to be a priority of the OCWIB. One of the OCWIB Strategic Goals is to "Design focused and outcome-driven cluster projects". This helps ensure that services and projects are targeted into occupational demand areas that will benefit both employers and job seekers. Another OCWIB Strategic Goal is to "Create diverse, sustainable funding courses and resources". Additional funding has been sought to meet the highly complex workforce needs of Orange County customers. The OCWIB's Fund Diversification Plan identified the following goals:

- Maximize and diversify resources that fund the overall One-Stop System
- Pursue appropriate funding opportunities available through Foundation grants
- Use industry cluster data to develop new and/or identify existing training programs and seek industry participation in funding the training
- Pursue appropriate grants and additional funding streams
- Expand capacity to access funds outside government offerings
- · Consider how available dollars can be regionally leveraged
- Regionally plan to maximize existing resources

#### 3. Collaborating to Improve California's Educational System At All Levels

Collaboration with the educational system is pivotal to the success of Orange County's One-Stop System. The priority for educational representation begins at the OCWIB level wherein education member representation greatly exceeds the WIA minimum mandates. In addition, Orange County is unique in that the competitively procured One-Stop operator is Coast Community College District. This provides opportunity for significant collaboration that directly links workforce development with the educational system. The OCWIB actively participates in working groups involving representatives from K - 12, local community colleges, universities, and the Orange County Business Council to work together on workforce and education challenges. The Orange County Department of Education is also represented on the OCWIB and is crucial to the delivery of services to youth.

## 4. Ensuring the Accountability of Public and Private Workforce Investments

Two of the major themes of this goal include "improving State and local coordination between partner agencies and programs" and "identifying and achieving administrative efficiencies and better service integration in California's workforce system." Coordination of partner agencies and programs at the One-Stop level is an ongoing challenge. Partner meetings help facilitate communication between agencies and the implementation of shared policies maximizes efficiency. Cost Allocation Plans are negotiated annually to help identify how the existing resources at the One-Stop can be most effectively managed. Regional collaboration in planning and grant submission has not only resulted in increased funding to the region but has also strategically aligned the region to respond to the workforce needs that are unique to Southern California.

#### 16. Educational Opportunities

Describe how your LWIA will align itself with local educational institutions and other training providers to maximize opportunities for education and training for adult and dislocated workers.

The OCWIB has a long history of working collaboratively with the educational community in Orange County. OCWIB has over 40 educational institutions, including community colleges, private post-secondary and ROP schools that are approved to provide training services in demand occupations for adults and dislocated workers through ITAs and classroom-based training program. The educational institutions provide educational job training and employment placement services to enrolled participants. Additionally, as previously mentioned, Coast Community College District is our procured One-Stop operator.

#### 17. One-Stop Staffing

Describe the additional staffing which will be provided at local One-Stops to ensure the provision of expanded staff assisted services to customers. Coast Community College District, as the primary One-Stop operator employs 64 FTEs between the Irvine and Westminster Centers while EDD employs 35 FTEs. Workforce Specialists manage a case load of at least 80 clients each. Propath operates Business Services with eight FTEs at these two locations. Additional staff was hired by both Coast and EDD to accommodate the increased demand for services. Hours of operation were also expanded to include Wednesday and Thursday evenings until 7 pm and Saturday from 8 am to noon.

In August of 2009, a new satellite location was established in Buena Park to accommodate the most northern part of the County. Propath operates this satellite location and employs eight One-Stop staff and 6 Business Services staff. Two EDD employees are also out stationed at this location.

Lastly, the OCWIB and Coast Community College District have been active partners in the development and establishment of a Veterans Service Center at the Los Alamitos Joint Forces Command Center. The Center provides easy access to a variety of medical and social services for Veterans and their families.

#### 18. Levels of Service

Describe the adjustments being made in One-Stop Career Centers in order to provide increased levels of service. Do One-Stop Career Centers have a uniform method of organizing their service delivery to business customers? Is there a common individual assessment process utilized in every One-Stop? What approaches will be used to ensure funds are targeted to those most in need, including low-income, public assistance recipients, persons with disabilities, etc.? How will One-Stops streamline the sequence of service to facilitate individual access to needed services and training?

#### Job Seeker Services

All One-Stop Centers and satellites provide core services, intensive services and access to training. Services are provided in English, Spanish and Vietnamese (or any other language that is appropriate for the geographic community). All Centers and satellites have TTDY line access and meet the requirements of the Americans with Disabilities Act. EDD services, including CalJOBS, are available at all sites. As previously mentioned, hours of operation have been extended and an additional satellite location has been established to provide increased level of service.

The following services are available at all One-Stop Centers to individuals with the right to work in the U.S. who are 18 years of age or older:

- Outreach, intake, profiling, and orientation to the One-Stop Center
- Initial assessment of skill levels, aptitudes, abilities, and support services
- Labor Market Information
- One-Stop partner services and supportive services
- Information on filing UI claims and other EDD services
- Resource Room usage with access to computers, phone, e-mail, fax and Xerox
- "How to" Workshops (e.g. writing a resume, interviewing techniques).
- Job Referral and Registration into Cal Jobs
- Basic skills training/English as a Second Language (ESL)
- Job placement assistance
- Assistance in establishing eligibility for additional services including WIA intensive and training services as well as other specialized programs and non-WIA training and education services.

If a job seeker is still unemployed after core service activities, they may transition into Intensive Services. These services provide comprehensive and specialized assessments of client skill levels in addition to the development of an individual employment plan to identify vocational and educational goals and objectives. If it is determined that training is required for the client to find or retain employment, training services will also be provided. A large portion of the ARRA funding has been designated for a variety of training opportunities. This may include training/retraining in demand occupational skills through Individual Training Accounts, work experience, customized training, and/or On-the-Job Training. Access to child care, transportation, books, clothing and other support services is also available to enrolled clients.

#### **Employer Services**

The OCWIB operates the Orange County One-Stop System in a cooperative fashion linking the One-Stop Centers and Business Services to provide a cost efficient, full menu of services to the business community. Services can be

customized to meet specific business and industry employment requirements. The Business Service Centers provide a complete range of recruitment services, job fairs, topical business seminars as well as regional wage and labor market information including the latest cluster development reports and surveys. Area businesses recognize that the Business Service Centers are pivotal in the recruitment of qualified job applicants because of their proven ability to successfully match the skill sets of candidates with appropriate job openings.

#### 19. Public Comment

Describe the process used to ensure transparency and to obtain public comment on the ARRA local plan modification. What were the outcomes of the public comments?

The ARRA plan modification was first made pubic on January 25, 2010 through a public notice published in the Orange County Register. The plan modification was accessible on the OCWIB internet site at: <a href="https://www.ocwib.org">www.ocwib.org</a> through February 26, 2010. No public comments were received.

#### 20. Performance Measures

Describe the measures which will be used to gauge performance for use of ARRA and WIA funds.

There will not be separate performance goals for ARRA programs. OCWIB will continue to report progress against already established WIA goals.

All Adult and Dislocated Worker programs are accountable for a single set of measures:

- Entered Employment Rate
- Employment Retention Rate
- Average Earnings

All Youth programs are accountable for the following measures:

- Placement in Employment and Education
- Attainment of a Degree or Certificate
- Literacy/Numeracy

The measure for the Summer Youth Program is limited to Work Readiness Skills.

All participants in WIA Adult and Dislocated Worker program and NEG ARRA will be included in regular WIA reports and OCWIB will report aggregate counts of participants (WIA and ARRA). For WIA youth programs, there is a distinction between youth served with recovery funds from youth served with WIA funds.

#### 21. Expenditure Monitoring

Describe the processes which will be adopted to track and monitor expenditure of ARRA funds.

A set of Job Numbers were established in the County's accounting system to track ARRA expenditures. Contractors have separate budgets for ARRA and they submit separate invoices. The Job Numbers are used to isolate ARRA expenditures from other WIA expenditures.

ARRA expenditures are complied into a monthly report by program for monitoring purposes and made available to Contract Administrators. The reports are also used to compile a quarterly report for ARRA 1512 reporting to the State.

✓ WIA Local Plan Modification PY 2009–10	LWIA:	Orange County	
☐ Modification #	Date:	07/01/09	

#### ARRA BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM	<b>TYPE</b>
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<b>V</b>	Grant	Code	102	WIA	IB-Adult
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Grant Code 105 WIA IB-Dislocated Worker

UNDING IDENTIFICATION		R9xxxxx Subgrant
Year of Appropriation		2008
2. Formula Allocation		1,428,500
3. Allocation Adjustment - Plu	us or Minus	
4. Transfers - Plus or Minus		
5. TOTAL FUNDS AVAILABL	LE (Lines 2 thru 4)	1,428,500
TOTAL ALLOCATION COST (	CATEGORY PLAN	
6. Program Services (sum of	Lines 6.A thru 6.E)	1,285,650
A. Core Self Services		
B. Core Registered Service	es	260,843
C. Intensive Services		272,624
D. Training Services		229,074
E. Other		523,109
7. Administration (Line 5 minu	s 6)	142,850
8. TOTAL (Line 6 plus 7)		1,428,500
QUARTERLY TOTAL EXPEND	DITURE PLAN (cumulative from Februar	v 17. 2009)
9. September 2008	,	
10. December 2008		
11. March 2009		
12. June 2009		214,369
13. September 2009		436,888
14. December 2009		697,747
15. March 2010		1,071,375
16. June 2010		1,285,650
17. September 2010		1,314,220
18. December 2010		1,357,075
19. March 2011		1,399,930
20. June 2011		1,428,500
COST COMPLIANCE PLAN (m	naximum 10%)	
21. % for Administration Expe	nditures (Line 7/Line 5)	10%
Nin Swe	714-567-7481	01/20/10
Contact Person, Title	Telephone Number	Date Prepared
Comments:		
CHILLERIS		

<sup>1</sup> Refer to Training and Employment Guidance Letter 14-08, Change 1 for information regarding recapture and reallocation of unobligated local WIA American Recovery and Reinvestment Act funds

☑ WIA Local Plan Modification PY 2009–10	LWIA:	Orange County
☐ Modification #	Date:	07/01/09

#### ARRA BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

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Grant Code 102 WIA IB-Adult

☑ Grant Code 105 WIA IB-Dislocated Worker

UNDING IDENTIFICATION		R9xxxxx Subgrant
Year of Appropriation		2008
2. Formula Allocation		5,618,066
3. Allocation Adjustment - P	lus or Minus	
4. Transfers - Plus or Minus		
5. TOTAL FUNDS AVAILAE	BLE (Lines 2 thru 4)	5,618,066
OTAL ALLOCATION COST		
6. Program Services (sum of Lines 6.A thru 6.E)		5,056,259
A. Core Self Services		
B. Core Registered Servi	ces	482,563
C. Intensive Services		1,361,147
D. Training Services		1,100,074
E. Other		2,112,475
7. Administration (Line 5 min	us 6)	561,807
8. TOTAL (Line 6 plus 7)		5,618,066
	DITURE PLAN (cumulative from Februar	y 17, 2009)
9. September 2008		
10. December 2008		
11. March 2009		
12. June 2009		445,593
13. September 2009		1,348,049
14. December 2009		2,046,619
15. March 2010		3,089,936
16. June 2010		3,932,646
17. September 2010		5,056,259
18. December 2010		5,224,801
19. March 2011		5,449,524
20. June 2011		5,618,066
COST COMPLIANCE PLAN (		
21. % for Administration Expenditures (Line 7/Line 5)		10%
A/: 0	744 507 7404	0.4/0.0/4.0
Vin Swe	714-567-7481	01/20/10
Contact Person, Title	Telephone Number	Date Prepared
Comments:		

<sup>1</sup> Refer to Training and Employment Guidance Letter 14-08, Change 1 for information regarding recapture and reallocation of unobligated local WIA American Recovery and Reinvestment Act funds

☑ WIA Local Plan Modification PY 2009–10	LWIA:	Orange County
Modification #	Date:	04/01/09

#### ARRA BUDGET PLAN SUMMARY (Youth)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM T	YΡ	F
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☑ Grant Code 103 WIA IB-Youth

FUNDING IDENTIFICATION		R9xxxxx Subgrant	
Year of Appropriation		2008	
2. Formula Allocation		3,311,538	
3. Allocation Adjustment - Plus	or Minus		
4. TOTAL FUNDS AVAILABLE	(Line 2 plus 3)	3,311,538	
TOTAL ALLOCATION COST CAT	EGORY PLAN		
5. Program Services (sum of Lines 5A and 5B)		2,980,384	
A. In School		1,823,987	
B. Out-of-School (30%)		1,156,397	
6. Administration (Line 4 minus	5)	331,154	
7. TOTAL (Line 5 plus 6)		3,311,538	
QUARTERLY TOTAL EXPENDITU	JRE PLAN (cumulative from February 1	7. 2009)	
8. June 2008	(**************************************		
9. September 2008			
10. December 2008			
11. March 2009			
12. June 2009		354,872	
13. September 2009		1,841,089	
14. December 2009		2,274,124	
15. March 2010		2,748,577	
16. June 2010		3,311,538	
17. September 2010		3,311,538	
18. December 2010		3,311,538	
19. March 2011		3,311,538	
20. June 2011		3,311,538	
COST COMPLIANCE PLAN			
21. % for Administration Expendit	tures (Line 6/Line 4)	10%	
Win Swe	714-567-7481	01/20/10	
Contact Person, Title	Telephone Number	Date Prepared	
Comments:			

<sup>1</sup> Refer to 20 CFR Part 667.160 and WIA Directive WIAD01-10 for guidance and information regarding local area obligation rates, and recapture and reallocation policies and procedures.

ARRA PARTICIPANT PLAN SUMMARY		Orange County 07/01/09			
WIA 118; 20 CFR 661.350(a)(13); TEGL 17-05  Plan the number of individuals that are in each category.					
	ABUUT	D)#/			
Totals for PY 2009 (07/01/09 through 06/30/10)	ADULT	DW			
Registered Participants Carried in from PY 2008	68	147			
New Registered Participants for PY 2009  This Polytonia is a polytopia in the polytopi	505	1,320			
3. Total Registered Participants for PY 2009 (Line 1 plus 2)	573	1,467			
4. Exiters for PY 2009	172	440			
5. Registered Participants Carried Out to PY 2010 (Line 3 minus 4	) 401	1,027			
PROGRAM SERVICES					
6. Core Self Services	6,130	7,493			
7. Core Registered Services	573	1,467			
Intensive Services	287	734			
Training Services	8	66			
3. Training dervices		00			
EXIT STATUS					
12. Entered Employment	133	350			
12A. Training-related	6	53			
13. Remained with Layoff Employer		2			
14. Entered Military Service		_			
15. Entered Advanced Training					
16. Entered Postsecondary Education					
17. Entered Apprenticeship Program					
18. Returned to Secondary School					
19. Exited for Other Reasons	39	88			
Andrew Munoz, Executive Director (714) 567-7371  Contact Person, Title Telephone Num	ber	Da	2/26/2009 te Prepared		
Comments:					

		Date:	<b>Orange County</b> 07/01/09		
WIA 1	<b>A PARTICIPANT PLAN SUMMARY</b> 18; 20 CFR 661.350(a)(13); TEGL 17-05				
Plan th	ne number of individuals that are in each category.				
Totals	s for PY 2009 (07/01/09 through 06/30/10)		YOUTH	SUMMER YOUTH	
1.	Registered Participants Carried in from PY 2008		41	0	
2.	New Registered Participants for PY 2009		103	1,065	
3.	Total Registered Participants for PY 2009 (Line 1 pl	us 2)	144	1,065	
4.	Exiters for PY 2009		43	849	
5.	Registered Participants Carried Out to PY 2010 (Lin	ne 3 minus 4)	101	216	
DDOC	GRAM SERVICES				
6.	Core Self Services			1	
7.	Core Registered Services				
8.	Intensive Services				
9.	Training Services			_	
YOUT	H MEASURES				
10.	Attainment of a Literacy and/or Numeracy Gain		22		
11.	Attainment of a High School Diploma, GED, or Cer	tificate	19		
12.	Attainment of a Work Readiness Skill			768	
	STATUS				
13.	Entered Employment		20	27	
	Training-related		0	0	
	Remained with Layoff Employer		4	0	
15.			1	0	
16. 17.	Entered Advanced Training Entered Postsecondary Education	+	<u> </u>	0	
18.	Entered Apprenticeship Program		0	0	
19.	Returned to Secondary School		2	324	
20.	Exited for Other Reasons		13	498	
	Extend for Other readons	<u> </u>		.00	
Andre <sup>*</sup>	w Munoz (714) 567-7	7371		2/26/2009	
Conta	ct Person, Title Telep	hone Number		Date Prepared	
_					
Comm	nents:				

~	WIA Local Plan Modification	PY 2009–10	LWIA:	Orange County	
	Modification #		Date:	07/01/200	9
	·				

#### **AMERICAN RECOVERY AND REINVESTMENT ACT**

#### STATE NEGOTIATED LEVELS OF PERFORMANCE

WIA Requirement at Section 136(b) <sup>1</sup>	PY 2009–10
Adults	
Entered Employment Rate	65%
Employment Retention Rate	81%
Average Earnings	\$12,500
Dislocated Workers	
Entered Employment Rate	81%
Employment Retention Rate	83%
Average Earnings	\$14,900
Youth (ages 14-24) <sup>2</sup>	
Placement in Employment or Education	63%
Attainment of a Degree or Certificate	47%
Literacy and Numeracy Gains	30%

#### LOCAL NEGOTIATED LEVELS OF PERFORMANCE

WIA Requirement at Section 136(b) <sup>1</sup>	PY 2009–10
Adults	
Entered Employment Rate	TBD
Employment Retention Rate	TBD
Average Earnings	TBD
Dislocated Workers	
Entered Employment Rate	TBD
Employment Retention Rate	TBD
Average Earnings	TBD
Youth (ages 14-24) <sup>2</sup>	
Placement in Employment or Education	TBD
Attainment of a Degree or Certificate	TBD
Literacy and Numeracy Gains	TBD

39

<sup>&</sup>lt;sup>1</sup> Per WSIN07-33, the DOL Employment and Training Administration approved California's waiver request to move from the statutory performance

measures specified in WIA Section 136 to the common performance measures defined in TEGL 17-05. This waiver was initially approved for

Program Years (PY) 2007-08 and 2008-09. Per TEGL 14-08, this waiver has been approved for PY 2009-10.

Por purposes of eligibility under the American Recovery and Reinvestment Act, the term "eligible youth" includes individuals 14 to 24 years of age.





## COUNTY OF ORANGE ORANGE COUNTY WORKFORCE INVESTMENT BOARD

# LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

# **Attachment 8:**

## **Other Submittals**

Steve Franks
Director
OC Community Resources

Jennifer Phillips Director OC Animal Care

Karen Roper Director OC Community Services

> Mark Denny Director OC Parks

Helen Fried County Librarian OC Public Libraries

November 12, 2009

**To:** WIA Contractors of the Orange County

Workforce Investment Area

From: Andrew Munoz

Community Investment Division Administrator/

Orange County Workforce Investment Board Executive Director

**Subject:** Prioritization of Services Policy

Information Notice No. 09-OCWDA-32

Supersedes Information Notice No. 06-OCWDA-02

#### **PURPOSE:**

- A) To provide guidance regarding the prioritization of Workforce Investment Act (WIA) Adult recipients who receive intensive and training services delivered through the Orange County One-Stop system, administered by the Orange County Workforce Investment Board (OCWIB).
- B) To provide guidance regarding the prioritization of services for recipients of the American Recovery and Reinvestment Act (ARRA) Adult recipients as well as for recipients of public assistance and other low-income individuals who receive intensive and training services delivered through the Orange County One-Stop System, administered by the OCWIB.
- C) To include the "Veterans' Priority Provisions" into the "Prioritization of Services Policy".

#### **EFFECTIVE DATE:**

This notice is effective on the date of issuance.

#### REFERENCES:

- Workforce Investment Act of 1998, §134
- American Recovery and Reinvestment Act of 2009 (PL 111-5)
- 20 CFR Workforce Investment Act Final Rules, Subpart F, §663.600 663.640
- U.S. Department of Labor (DOL) TEGL No. 14-08 dated March 18, 2009

"Guidance for Implementation of Workforce Investment Act and Wagner Peyser Act Funding in the American Recovery and Reinvestment Act of 2009 and State Planning Requirements for Program Year 2009"

 OCWIB Information Notice No. 04-OCWDA-18 dated May 6, 2005 "Eligibility Technical Assistance Guide"



- OCWIB Information Notice No. 08-OCWDA-29 dated June 15, 2009 "Annual Revision to Income Guidelines 2009 70 Percent LLSIL and 2009 Poverty Guidelines" and subsequent annual revision
- EDD Directive: WIAD06-22 dated June 29, 2007 "2007 70 Percent LLSIL and Poverty Guidelines" and subsequent annual revisions
- U.S. Department of Labor (DOL) TEGL No. 05-03 dated September 16, 2003 "Implementing the Veterans' Priority Provisions of the 'Jobs for Veterans Act'" (PL 107-288)
- EDD Bulletin: WIAB04-4 dated July 6, 2004 "Released Guidance on Implementing the Veterans' Priority Provisions"
- EDD Bulletin: WIAB03-25 Dated September 26, 2003 "Implementing the Veterans' Priority Provisions"
- U.S. Department of Labor Veterans' Employment and Training Services 20 CFR Part 1010 dated December 19, 2008 "Priority of Service for Covered Persons; Final Rule"

#### **BACKGROUND:**

The Workforce Investment Act established guidelines regarding requirements for adults to obtain **intensive** and **training services**. The Workforce Investment Act Final Rules, §663.600, states the following:

- (a) In the event that funds allocated to a local area for Adult employment and training activities are limited, priority for intensive and training services funded with Title I Adult funds must be given to recipients of public assistance and other low-income individuals in the local area.
- (b) Since funding is generally limited, States and local areas must establish criteria by which local areas can determine the availability of funds and the process by which any priority will be applied under WIA section § 134(d)(2)(E). Such criteria may include the availability of other funds for providing employment and training-related services in the local area, the needs of the specific groups within the local area, and other appropriate factors.
- (c) States and local areas must give priority for Adult intensive and training services to recipients of public assistance and other low-income individuals, unless the local area has determined that funds are not limited under the criteria established under (b) of this section.
- (d) The process for determining whether to apply the priority established under paragraph (b) of this section does not necessarily mean that only the recipients of public assistance and other low income individuals may receive WIA Adult funded intensive and training services when funds are determined to be limited in a local area. The Local Board and the Governor may establish a process that gives priority for services to the recipients of public assistance and other low income individuals and that also serves other individuals meeting eligibility requirements.

The American Recovery and Reinvestment Act was signed into law on February 17, 2009. The Department of Labor's Employment and Training Administration released guidance for implementing the WIA and Wagner Peyser Act Funding under the

American Recovery and Reinvestment Act of 2009. Training and Employment Guidance Letter No. 14-08 states the following:

- (a) Priority use of WIA Adult formula funds under the Recovery Act must be for services to recipients of public assistance and other low-income individuals as described in WIA section 134(d)(4)(E). Unlike the normal formula funded WIA programs, The Recovery Act priority applies regardless of whether funds are limited in the local area or not.
- (b) States and local areas must incorporate priority of services for Veterans and Eligible Spouses sufficient to meet the requirements of 20 CFR part 1010, published at Fed. Reg. 78132 on December 19, 2008, the regulations implementing priority of service for Veterans and Eligible Spouses in Department of Labor job training programs under the Jobs for Veterans Act. Under sec. 1010.310(b)(3) of these regulations, when the veterans priority is applied in conjunction with another statutory priority like the Recovery Act's priority for recipients of public assistance and low-income individuals, Veterans and Eligible Spouses who are members of the Recovery Act priority group must receive the highest priority within that priority group, followed by non-Veteran members of the Recovery Act priority group.

#### **POLICY AND PROCEDURE:**

This "Prioritization of Services" policy is intended to provide OC One-Stop System Contractors with a policy for the provision of intensive and training services to individuals, who are most in need of services, to successfully compete in the labor market.

Five priority groups have been established to prioritize services. The priority of individuals served does not change basic eligibility criteria for the program. An individual must first qualify for the program before priority of service is applied.

**Group 1**: First priority must be given to Veterans and their Eligible Spouses who are Economically Disadvantaged Adults or recipients of public assistance seeking intensive and training services through the OC One-Stop Centers. Veterans and Eligible Spouses must meet guidelines as indicated below. All eligible individuals in Group 1 must be offered intensive services before individuals in Groups 2, 3, 4 and 5.

A Veteran is defined as any individual who:

- A. Served in the active military, naval, or air service, and who was discharged or released under conditions other than dishonorable; OR
- B. The Spouse of any of the following individuals:
  - (1) Any Veteran who died of a service-connected disability;
  - (2) Any member of the Armed Forces serving on active duty who, at the time of application for the priority, is listed in one or more of the following categories and has been so listed for a total of more than 90 days:
    - (i) Missing in action;

- (ii) Captured in line of duty by a hostile force; or
- (iii) Forcibly detained or interned in line of duty by a foreign government or power;
- (3) Any Veteran who has a total disability resulting from a service-connected disability, as evaluated by the Department of Veterans Affairs;
- (4) Any Veteran who died while a disability, as indicated in paragraph (3) of this section, was in existence.

An Economically Disadvantaged Adult is defined as an individual who:

- A. Receives, or is a member of a family that receives, cash payments under a Federal, State, or local income-based public assistance program; OR
- B. Receives Medi-Cal, Medicaid or Medicare; OR
- C. Received an income, or is a member of a family that received a total family income, for the 6-month period prior to application for the program involved that, in relation to family size, does not exceed the higher of the poverty level or 70% of the Lower Living Standard Income Level/Poverty Standards; OR
- D. Is a member of a household that receives (or has been determined within the 6-month period prior to application for the program involved to be eligible to receive) food stamps pursuant to the Food Stamp Act of 1977; OR
- E. Qualifies as a homeless individual as defined by the Stewart B. McKinney Homeless Assistance Act.

**Group 2**: Second priority must be given to Economically Disadvantaged Adults seeking intensive and training services through the OCWIB One-Stop Centers. All eligible individuals in Group 2 must be offered intensive services before individuals in Groups 3, 4 and 5. An Economically Disadvantaged Adult is defined above.

**Group 3:** Third priority must be given to Veterans seeking intensive and training services through the OC One-Stop Centers. All eligible individuals in Group 3 must be offered intensive services before individuals in Groups 4 and 5. These individuals do not need to meet any income guidelines. A Veteran is defined above.

**Group 4**: Fourth priority is given to Older Workers (55 and older), former Foster Youth, the Disabled, School Dropouts and Offenders who are in need of intensive and training services through the OC One-Stop Centers. These individuals do not need to meet any income guidelines. All eligible individuals in Group 4 must be offered intensive services before individuals in Group 5.

**Group 5**: Fifth priority is given to the underemployed workers who are in need of intensive and training services. An individual must meet the following criteria:

A. Is underemployed and who is working part time but desires full time employment, or who is working in employment not commensurate with the individual's demonstrated level of educational attainment [Reference: TEGL

14-00 Change 1] or does not earn 100% of the federal Lower Living Standard Income Level/Poverty Standards.

#### NOTES:

- In the event additional WIA funds become available, the OCWIB reserves the right to identify additional barriers and modify the criteria for determining prioritization of service.
- According to Federal Register, Volume 65, No. 156, §663.610, the statutory priority applies to Adult funds for intensive and training services only. Funds allocated for Dislocated Workers are not subject to a prioritization of service requirement.
- WIA Section 101(37) the term PUBLIC ASSISTANCE means federal, state or local government cash payments for which eligibility is determined by a needs or income test. According to the preamble to the Final rule, cash payments such as Social Security Insurance (SSI), state payments to individuals with disabilities, and local general relief payments to homeless individuals would meet both parts of the statutory definition of public assistance. Social Security Disability Insurance (SSDI) Payments are not income tested, and therefore, cannot be considered public assistance. Similarly, Medi-Cal, Medicaid and Medicare benefits are not considered public assistance as defined under the WIA.

#### **ACTION:**

- 1. Insert into the Technical Assistance Guide (TAG) and bring to the attention of all staff.
- 2. For income-based eligibility, use the appropriate Lower Living Standard Income Level (LLSIL) and Poverty Guidelines Chart (Information Notice No. 08-OCWDA-29).

#### ATTACHMENT(S):

None

#### **INQUIRIES:**

If you have any questions regarding this policy, please contact Ron Milone at (714) 567-7121 or ron.milone@hcs.ocgov.com.



November 18, 2009

**To:** WIA Contractors of the Orange County

Workforce Investment Area

From: Andrew Munoz

Community Investment Division Administrator/

Orange County Workforce Investment Board Executive Director

**Subject:** Supportive Services Policy and Procedures

Information Notice No. 09-OCWDA-33

Supersedes Information Notice No. 08-OCWDA-27

#### **PURPOSE:**

To establish the Policy and Procedures for providing supportive services to participants in the Adult, Dislocated Worker, Youth and/or Special Programs under the Workforce Investment Act (WIA) and the American Recovery and Reinvestment Act (ARRA).

#### **BACKGROUND:**

The WIA stipulates that supportive services must be available to participants engaged in core, intensive, training, and/or WIA Youth services. The WIA also stipulates that local policy must address the coordination and referral of services to other entities in order to avoid duplication of resources and services and may set limits on the funding and duration of such services.

These services are not an entitlement and are designed to enable the individual to participate in activities authorized under the WIA. Supportive services may be provided to a participant at any level of service including follow-up. Supportive services, except needs related payments, may be provided to those individuals in unsubsidized employment.

The attached Policy and Procedures along with the revised Supportive Services Matrix are intended to provide guidance to Staff, provide a streamlined process for approval and issuance of services and ensure accurate and timely expenditures.

#### **EFFECTIVE DATE:**

This notice is effective on the date of issuance.

#### **REFERENCES:**

- WIA [(P.L. 105-220) Title I, Sections 101 (46), 134 (d)(3)(c), 134 (e)(2) and (3)(d)(2)(h)]
- Title 20 CFR Section 663.800-810, 664.440
- ETA Training and Employment Guidance Letter (TEGL) No. 14-08, issued March 18, 2009
- Department of Social Services All County Letter No. 09-57, issued October 6, 2009



#### **POLICY AND PROCEDURES:**

- Supportive services are not entitlements and shall be approved by the One-Stop Program Manager for a given participant on the basis of individual circumstances, the absence of other resources, and funding limitations. Supportive services provided must be reasonable, necessary and allowable based on federal guidelines.
- 2. The attached Supportive Services Matrix is for Staff use only and should not be shared with participants.
- 3. Determination of need must be clearly documented in the case notes. Documentation must support the Supportive Services Request Form and include justification of the cost. A Supportive Services Request Form must be completed and have an original signature from the One-Stop Workforce Specialist and the Reviewer prior to submission.
- 4. Supportive services must be necessary for a given participant to complete his/her Individual Employment Plan (IEP) and be beyond his/her ability to pay.
- 5. One-Stop Program Staff shall seek services from other community resources prior to authorizing supportive services under the WIA, as appropriate. Referrals should be made to other agencies or resources for assistance.
- 6. Original invoices, statements and receipts necessary to verify that a supportive service has been provided must be submitted to the One-Stop Workforce Specialist or Support Services Provider. It is the responsibility of the Supportive Services Provider to ensure that all support services documentation is collected and given to the One-Stop Program Provider.
- 7. It is the responsibility of the One-Stop Program Provider to ensure that all of the collected documentation is maintained in the participant's file and that the MIS paperwork is completed and submitted to the OCWIB for entry into the JTA system.
- 8. If a participant receives a supportive service and fails to return the appropriate documentation/receipts, no additional supportive services will be given to them until such receipts are provided.
- 9. The One-Stop Program Manager may only approve the types of supportive services and the dollar limits as described on the Supportive Services Matrix.
- 10. Other (Special Circumstances) supportive services may be granted on a caseby-case basis only if those services would significantly benefit the participant in job retention, wage progression or completion of training in order to achieve employment. Any requests for exception must be approved by the One-Stop Program Director or Senior Manager and may not exceed \$500.

#### ACTION:

Bring this Policy and Procedure to the attention of all Staff.

#### **INQUIRIES:**

If you have any question regarding this policy, contact Jessica Amezcua at 714-834-6878.

#### **ATTACHMENTS:**

Supportive Services Matrix Supportive Services Request Form

### **Supportive Services Matrix**

Supportive Services	Maximum Amount	Parameters
Automotive	Repairs \$1,000 Insurance \$400 Registration Renewal \$400	An estimate is required for any repair. If an estimate is unavailable, a waiver is required. A number of small repairs may be paid if supported but the cumulative cost may not exceed the maximum amount. Car Payments are NOT permitted.
Books and School Supplies	Not to exceed \$1500 for up to 4 semesters	The school must provide a list of items required for training.  Services or items required by an OJT employer or items not required by school or OJT employer but essential for Participant to complete training or to become employed may be considered. May include costs associated with shipping & handling.
Certificates/Licenses/Testing	\$1,000	
Childcare	Will be based on the most recent Regional Market Rate Ceiling for California Childcare Providers issued by the California Department of Public Social Services.	Paid monthly directly to the Provider. Three quotes required from licensed childcare Provider. Maintain documentation on attendance/progress in training activities in Participant file.
Clothing/Shoes/Uniforms	\$500	Clothing may be purchased from a retail store or with a Voucher or other mechanisms established by the Contractor.
DMV	DMV Printout - \$15 -Two per year. Drivers License Renewal - \$30	Printout of California driving record if needed during documented job search as condition of employment.
Dry Cleaning	\$50	
Food	Not to exceed \$200 per program year	May include Food Boxes or other mechanisms established by the Contractor.

Health Services	Not to exceed \$1,000 Drug Screening Eye Exam Glasses or Contacts Hearing Exam/Aid Dentistry One time request only; except for drug screening as needed for employment.	An estimate is required for any health service. If an estimate is unavailable, a waiver is required. Participant may receive more than one health service if supported with written justification, but the cumulative cost may not exceed the maximum amount.
Hotel / Motel Vouchers	Not to exceed \$500	
Housing (Rental Assistance)	Not to exceed \$1,000	Mortgage payments are NOT permitted.
Transportation: Mileage /Bus Passes/Gas Cards	Cards \$50 per month (2-\$25) Parking \$150 /semester	
Tools/Professional Supplies	\$1,000	
Utilities	Not to exceed \$200 for all utilities except telephone; Telephone \$50	
Other (Special Circumstances)	Not to Exceed \$500	Approval of Senior Manager required. Must significantly benefit the Participant (job retention, wage progression, training etc.).

20 CFR Section 663.800 Supportive Services may only be provided to individuals who are unable to obtain Supportive Services through other programs providing such services. (WIA section 134(e)(2)(A) and (B).)

Cumulative payments to any participant may not exceed \$4,000 in any 12 month period.

Rev 11/18/09

### SUPPORT SERVICES REQUEST FORM

SECTION I: GENE	RAL PARTICI	PANT INFORM	<u>IATION</u>					
Participant Name:					Date:			
WIA Application#:					Telephone:	( ) -		
Grant Code:	ARRA FORMULA  ant Code: Adult DW Adult DW				Location/Center:	☐ Buena Park ☐ Irvine ☐ Westminster		
SECTION II: PARTICIPANT'S STATEMENT OF NEED								
Payable to:					Amount:			
Workforce Specialist Signature Staff ID# Date Reviewer Date								
SECTION III: SUPI	PORTIVE SER	VICES REQUE	EST & OUTO	OME REPOR	Ţ			
	IVE SERVICE			PORTIVE E OUTCOME	AMOUNT/ CHECK #:	TYPE OF RECEIPT AND DUE DATE:		
☐ Provided Service ☐ Service Denied ☐ Yes ☐ No, Due Date ☐ Copy of Receipt ☐ Copy of Gas Card ☐ Copy of Bus Pass								
☐ Provided Service ☐ Service Denied ☐ Yes ☐ No, Due Date ☐ Copy of Receipt ☐ Copy of Gas Card ☐ Copy of Bus Pass								
SECTION IV: ACKNOWLEDGEMENT OF RECEIPT REQUESTED								
I understand that I am required to return proper receipts and/or documentation that is requested for the purchases and services that I have received from the Supportive Services Provider. I understand that if the required receipts and/or documentation in the amount and by the due date detailed above are not returned there will be no additional supportive services provided to me.  I understand that the above-mentioned services provided by Arbor E&T is solely for myself and my immediate families' use (immediate family includes; children and spouse)/ If I use the services provided for any other activity or give to any person, other than my immediate family, I would be committing fraud that may be subject to consequence. Arbor E&T does not accept any type of payment from Participants for share of cost.								
Participant Signatu	re				Date			
Supportive Service	s Staff Signatu	re Date	Account S	upervisor II	Date Rev	iewer Date		





# COUNTY OF ORANGE ORANGE COUNTY WORKFORCE INVESTMENT BOARD

# LOCAL PLAN MODIFICATION PROGRAM YEAR 2009-10

# **Attachment 9**

## **Local Plan Modification #1**

### TRANSFER REQUEST

1.	LWIA Name Orange County Workforce Investment Board Transfer Request No. 01
2.	Subgrant Number R970554
3.	Program Year 2008-09
4.	Direction of Transfer:
	ARRA Dislocated Worker to Adult  ☐ 105 → 102
5.	Amount of Transfer _\$800,000
6.	Reason for Transfer (Include effects on local services and proposed changes to the local plan.)
	Transfer of \$800,000 from ARRA Dislocated Worker to ARRA Adult to increase the One-Stop services and training opportunities for Adults as the number of Adults seeking employment and training services has increased during the current Program Year. The proposed changes to the Local Plan will affect the Participant Summary Plan and the Budget Summary Plan.
7.	Date of LWIB Meeting to Discuss Transfer October 28, 2009
8.	Print Name of LWIA Administrator/Designee Andrew Munoz
9.	"I certify this transfer request was approved at the LWIB meeting date of October 28, 2009".
10.	Signature of LWIA Administrator/Designee
11.	Contact Person Andrew Munoz
12.	Telephone Number <u>714-567-7370</u>
13.	Date of Request March 22, 2010

2. Prior Adjustments - Plus or Minus       -         3. Previous Amounts Transferred       -         4. Current Amount to be Transferred       800,000       (8         5. TOTAL FUNDS AVAILABLE (Lines 1 thru 4)       2,228,500       4,8         TOTAL ALLOCATION COST CATEGORY PLAN         6. Program Services (Lines 6A through 6E)       1,996,210       4,3         A. Core Self Services       260,197       5         B. Core Registered Services       260,197       5         C. Intensive Services       341,405       1,2         D. Training Services       1,387,887       3         E. Other       6,721       2,1         7. Administration       232,290       4         8. TOTAL (Lines 6 plus 7)       2,228,500       4,8         QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative)         9. September 2008       9       214,369       4         10. December 2009       436,888       1,3         14. December 2009       436,888       1,3         14. December 2010       1,002,825       2,6         16. June 2010       1,202,825       3,1         17. September 2010       1,537,665       3,7	ty
Adult to Dislocated Worker to   Subgrant #	
Adult to Dislocated Worker to   Subgrant #	
Subgrant #	
Subgrant #	Adult
FUNDING IDENTIFICATION         ARRA-ADULT (102)         ARRA-DISLOCATE           1. Formula Allocation         1,428,500         5,6           2. Prior Adjustments - Plus or Minus         -         -           3. Previous Amounts Transferred         -         -           4. Current Amount to be Transferred         800,000         (8           5. TOTAL FUNDS AVAILABLE (Lines 1 thru 4)         2,228,500         4,8           FOTAL ALLOCATION COST CATEGORY PLAN           6. Program Services (Lines 6A through 6E)         1,996,210         4,3           A. Core Self Services         260,197         5           B. Core Registered Services         260,197         5           C. Intensive Services         341,405         1,2           D. Training Services         1,387,887         3           E. Other         6,721         2,1           7. Administration         232,290         4           8. TOTAL (Lines 6 plus 7)         2,228,500         4,8           QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative)         9         September 2008           10. December 2009         214,369         4           13. September 2009         436,888         1,3           14. December 2010         1,270,245         3,1	
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6. Program Services (Lines 6A through 6E) 1,996,210 4,3 A. Core Self Services B. Core Registered Services 260,197 5 C. Intensive Services 341,405 1,2 D. Training Services 1,387,887 3 E. Other 6,721 2,1 7. Administration 232,290 4 8. TOTAL (Lines 6 plus 7) 2,228,500 4,8  QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative) 9. September 2008 10. December 2008 11. March 2009 214,369 4 12. June 2009 214,369 4 13. September 2009 436,888 1,3 14. December 2009 697,747 2,6 15. March 2010 1,002,825 2,6 16. June 2010 1,270,245 3,1 17. September 2010 1,537,665 3,7	
A. Core Self Services B. Core Registered Services C. Intensive Services D. Training Services 1,387,887 E. Other 6,721 2,1 7. Administration 232,290 8. TOTAL (Lines 6 plus 7) 2,228,500 4,8  QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative) 9. September 2008 10. December 2008 11. March 2009 12. June 2009 13. September 2009 14. December 2009 15. March 2010 16. June 2010 17. September 2010 1,537,665 3,7	
B. Core Registered Services C. Intensive Services 341,405 D. Training Services 1,387,887 E. Other 6,721 2,1 7. Administration 8. TOTAL (Lines 6 plus 7) 2,228,500 4,8  QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative) 9. September 2008 10. December 2008 11. March 2009 12. June 2009 13. September 2009 14. December 2009 15. March 2010 16. June 2010 17. September 2010 18. Core Registered Services 341,405 1,3 46,721 2,1 2,1 2,1 2,2 4,8 6,7 2,2 2,228,500 4,8 6,8 1,3 6,8 1,3 6,8 1,3 6,8 1,3 6,8 1,3 6,8 1,3 6,8 1,3 6,9 1,0 6,9 7,4 7 6,0 1,002,825 2,6 1,0 1,002,825 3,1 1,002,825 3,1 1,002,825 3,1 1,002,825 3,1 1,002,825 3,1 1,002,825 3,1 1,002,825 3,1 1,002,825 3,1 1,002,825 3,1	320,673
C. Intensive Services       341,405       1,2         D. Training Services       1,387,887       3         E. Other       6,721       2,1         7. Administration       232,290       4         8. TOTAL (Lines 6 plus 7)       2,228,500       4,8         QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative)         9. September 2008       9       September 2008         10. December 2008       11. March 2009       214,369       4         12. June 2009       214,369       4         13. September 2009       436,888       1,3         14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	
D. Training Services E. Other E. Other F. Administration E. OTHAL (Lines 6 plus 7) E. OTHAL EXPENDITURE PLAN (Cumulative) E. OTHAL EXPE	528,166
E. Other 6,721 2,1 7. Administration 232,290 4 8. TOTAL (Lines 6 plus 7) 2,228,500 4,8  QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative) 9. September 2008 10. December 2008 11. March 2009 12. June 2009 214,369 4 13. September 2009 436,888 1,3 14. December 2009 697,747 2,0 15. March 2010 1,002,825 2,6 16. June 2010 1,537,665 3,7	283,654
7. Administration       232,290       4         8. TOTAL (Lines 6 plus 7)       2,228,500       4,8         QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative)         9. September 2008       9       2000       <	321,469
8. TOTAL (Lines 6 plus 7)  2,228,500  4,8  QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative)  9. September 2008  10. December 2008  11. March 2009  12. June 2009  13. September 2009  14. December 2009  15. March 2010  16. June 2010  17. September 2010  18. TOTAL (Lines 6 plus 7)  2,228,500  4,8  4,8  4,8  4,8  4,8  4,8  4,8  4	187,384
QUARTERLY TOTAL EXPENDITURE PLAN (Cumulative)         9. September 2008                 10. December 2008                 11. March 2009                 12. June 2009                 13. September 2009                 14. December 2009                 15. March 2010                 16. June 2010                 17. September 2010                 17. September 2010	497,393
9. September 2008         10. December 2008         11. March 2009         12. June 2009       214,369         13. September 2009       436,888         14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	318,066
9. September 2008         10. December 2008         11. March 2009         12. June 2009       214,369         13. September 2009       436,888         14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	
10. December 2008         11. March 2009         12. June 2009       214,369         13. September 2009       436,888         14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	
12. June 2009       214,369       4         13. September 2009       436,888       1,3         14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	
12. June 2009       214,369       4         13. September 2009       436,888       1,3         14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	
13. September 2009       436,888       1,3         14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	145,593
14. December 2009       697,747       2,0         15. March 2010       1,002,825       2,6         16. June 2010       1,270,245       3,1         17. September 2010       1,537,665       3,7	348,049
16. June 2010     1,270,245     3,1       17. September 2010     1,537,665     3,7	046,619
16. June 2010     1,270,245     3,1       17. September 2010     1,537,665     3,7	649,936
	131,743
18. December 2010 1,782,800 4,2	709,911
, , ,	239,898
19. March 2011 2,117,075 4,5	577,163
	318,066
COST COMPLIANCE PLAN (maximum 10%)	
21. % for Administration Expenditures (Line 7/Line 5)	109
, , , , , , , , , , , , , , , , , , ,	
Win Swe (714) 567-7481 3/25/2010	
Contact Person, Title Telephone Number Date Prepared	
Comments:	

☑ WIA Local Plan Modification PY 2009–10	LWIA:	Orange County
✓ Modification # <u>1</u>	Date:	07/01/09

### ARRA BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

P	R	$\cap$	G	R	Δ٨	Λ	T١	/P	F
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	Grant	Code	102	ARR	A-Adul
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Grant Code 105 WIA IB-Dislocated Worker

UNDING IDENTIFICATION		R9xxxxx Subgrant
Year of Appropriation		2008
2. Formula Allocation		1,428,500
3. Allocation Adjustment - Plu	s or Minus	
4. Transfers - Plus or Minus		800,000
5. TOTAL FUNDS AVAILABL	E (Lines 2 thru 4)	2,228,500
TOTAL ALLOCATION COST C	ATEGORY PLAN	
6. Program Services (sum of L	ines 6.A thru 6.E)	1,996,210
A. Core Self Services		
B. Core Registered Service	es	260,197
C. Intensive Services		341,405
D. Training Services		1,387,887
E. Other		6,721
7. Administration (Line 5 minus	s 6)	232,290
8. TOTAL (Line 6 plus 7)		2,228,500
QUARTERLY TOTAL EXPEND	ITURE PLAN (cumulative from February	17, 2009)
9. September 2008	·	
10. December 2008		
11. March 2009		
12. June 2009		214,369
13. September 2009		436,888
14. December 2009		697,747
15. March 2010		1,002,825
16. June 2010		1,270,245
17. September 2010		1,537,665
18. December 2010		1,782,800
19. March 2011		2,117,075
20. June 2011		2,228,500
COST COMPLIANCE PLAN (m	aximum 10%)	
21. % for Administration Exper	ditures (Line 7/Line 5)	10%
Nin Swe	714-567-7481	03/25/10
Contact Person, Title	Telephone Number	Date Prepared
Comments:		
Johnnenia.		

<sup>1</sup> Refer to Training and Employment Guidance Letter 14-08, Change 1 for information regarding recapture and reallocation of unobligated local WIA American Recovery and Reinvestment Act funds

<b>☑</b> WIA Local Plan Modification PY 2009–10	LWIA:	Orange County
Modification # 1	Date:	07/01/09

### ARRA BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

PR	$\cap$	GE	2Δ	NΛ '	TΥ	PF

☐ Grant Code 102 WIA IB-Adult

**Grant Code 105 ARRA-Dislocated Worker** 

FUNDING IDENTIFICATION	R9xxxxx Subgrant
Year of Appropriation	2008
2. Formula Allocation	5,618,066
3. Allocation Adjustment - Plus or Minus	
4. Transfers - Plus or Minus	(800,000)
5. TOTAL FUNDS AVAILABLE (Lines 2 thru 4)	4,818,066
TOTAL ALLOCATION COST CATEGORY PLAN	
6. Program Services (sum of Lines 6.A thru 6.E)	4,320,673
A. Core Self Services	77-
B. Core Registered Services	528,166
C. Intensive Services	1,283,654
D. Training Services	321,469
E. Other	2,187,384
7. Administration (Line 5 minus 6)	497,393
8. TOTAL (Line 6 plus 7)	4,818,066
QUARTERLY TOTAL EXPENDITURE PLAN (cumulative from Februa	ry 17, 2009)
9. September 2008	17, 2003)
10. December 2008	
11. March 2009	
12. June 2009	445,593
13. September 2009	1,348,049
14. December 2009	2,046,619
15. March 2010	2,649,936
16. June 2010	3,131,743
17. September 2010	3,709,911
18. December 2010	4,239,898
19. March 2011	4,577,163
20. June 2011	4,818,066
COST COMPLIANCE PLAN (maximum 10%)	
21. % for Administration Expenditures (Line 7/Line 5)	10%

Win Swe	714-567-7481	03/25/10
Contact Person, Title	Telephone Number	Date Prepared
Comments:		

<sup>1</sup> Refer to Training and Employment Guidance Letter 14-08, Change 1 for information regarding recapture and reallocation of unobligated local WIA American Recovery and Reinvestment Act funds

> [	WIA Local Plan Modification PY 2009–10	LWIA:	Orange County	
>	Modification # 1	Date:	07/01/09	

#### **ARRA PARTICIPANT PLAN SUMMARY**

WIA 118; 20 CFR 661.350(a)(13); TEGL 17-05

Plan the number of individuals that are in each category.

Total	s for PY 2009 (07/01/09 through 06/30/10)	ADULT	DW	
1.	Registered Participants Carried in from PY 2008	68	147	
2.	New Registered Participants for PY 2009	505	1,320	
3.	Total Registered Participants for PY 2009 (Line 1 plus 2)	573	1,467	
4.	Exiters for PY 2009	172	440	
5.	Registered Participants Carried Out to PY 2010 (Line 3 minus 4)	401	1,027	

PROGRAM SERVICES				
6.	Core Self Services	6,130	7,493	
7.	Core Registered Services	573	1,467	
8.	Intensive Services	287	734	
9.	Training Services	121	66	

EXIT	EXIT STATUS				
12.	Entered Employment	133	350		
12A.	Training-related	6	53		
13.	Remained with Layoff Employer		2		
14.	Entered Military Service				
15.	Entered Advanced Training				
16.	Entered Postsecondary Education				
17.	Entered Apprenticeship Program				
18.	Returned to Secondary School				
19.	Exited for Other Reasons	39	88		

Andrew Munoz, Executive Director	(714) 567-7371	2/26/2009
Contact Person, Title	Person, Title Telephone Number	
Commente		
Comments:		